

broadly neutralizing antibody VRC03, which was one of two antibodies we isolated along with VRC01 (12). Meanwhile, three residues are provided by the CDR L3—Tyr91<sub>VRC01</sub>, Glu96<sub>VRC01</sub>, and Phe97<sub>VRC01</sub>—with a combined interaction surface of 190 Å<sup>2</sup> (tables S3 and S13). These three residues lie at the junction between V and J genes. They make important hydrophobic interactions with loop D of gp120, and two of them are conserved between VRC01 and VRC03. Although it is difficult to know how precisely the CDR L3 needs to be aligned, with only three contact residues variation at the V<sub>H</sub>-J gene junction should provide sufficient diversity for it to be represented in the repertoire.

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38. Analysis of the HIV-1 Env-reactive antibody repertoire from infected individuals shows increased levels of affinity maturation (30). Analysis of a subset of this data (appendix S1) containing 147 heavy and 147 light chains from HIV-1 Env-reactive antibodies reveals an average of 15 alterations (30 maximum) for the heavy chain and an average of 8.6 alterations (22 maximum) for the light chain (fig. S13). In terms of the subset of HIV-1 Env-reactive antibodies that are broadly neutralizing (such as 2G12, 2F5, 4E10, and b12), antibodies b12 and 2G12 have 45 and 51 changes, respectively, relative to nearest genomic precursors in their V<sub>H</sub> and J segments of the heavy chain (31).
39. Similar significant reductions in affinity have been observed with reversion of other broadly neutralizing antibodies to HIV-1 to putative genomic sequences (47–49); these

observations have led to the suggestion that the dramatically reduced germline affinity for gp120 might hinder the initiation of affinity maturation of these antibodies (50). That is, if the affinity for gp120 of the genomic precursor of a broadly neutralizing antibody were below the threshold required for the nascent B cell to mature, then maturation would either not occur or would need to occur in response to a different immunogen. This lack of guided initiation of the maturation process may provide an explanation for the absence of such broadly neutralizing antibodies in the first few years of infection. Conversely, the introduction of modified gp120s with affinity to genomic precursors and affinity maturation intermediates could provide a mechanism by which to elicit antibodies like VRC01.

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J.F.S., M.C.N., and J.R.M. contributed new reagents or reference data; T.Z., I.G., X.W., J.S., L.S., G.J.N., J.R.M., and P.D.K. analyzed the data; and T.Z., I.G., J.S., L.S., G.J.N., J.R.M., and P.D.K. wrote the paper, on which all authors commented. We thank I. A. Wilson and members of the Structural Biology Section and Structural Bioinformatics Core, Vaccine Research Center, for discussions and comments on the manuscript; the staff of sector 22 for assistance with data collection; and J. Stuckey for assistance with figures. Support for this work was provided by the Intramural Research Program of NIH and by grants from NIH and from the International AIDS Vaccine Initiative's Neutralizing Antibody Consortium. Use of sector 22 (Southeast Region Collaborative Access team) at the Advanced Photon Source was supported by the U.S. Department of Energy, Basic Energy Sciences, Office of Science, under contract W-31-109-Eng-38. Coordinates and structure factors for the VRC01-gp120 complex have been deposited with the PDB under accession code 3NGB.

#### Supporting Online Material

www.sciencemag.org/cgi/content/full/science.1192819/DC1  
Materials and Methods  
Figs. S1 to S16  
Tables S1 to S14  
References  
Appendix S1

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## REPORTS

# Gamma-Ray Emission Concurrent with the Nova in the Symbiotic Binary V407 Cygni

The Fermi-LAT Collaboration\*†

Novae are thermonuclear explosions on a white dwarf surface fueled by mass accreted from a companion star. Current physical models posit that shocked expanding gas from the nova shell can produce x-ray emission, but emission at higher energies has not been widely expected. Here, we report the Fermi Large Area Telescope detection of variable  $\gamma$ -ray emission (0.1 to 10 billion electron volts) from the recently detected optical nova of the symbiotic star V407 Cygni. We propose that the material of the nova shell interacts with the dense ambient medium of the red giant primary and that particles can be accelerated effectively to produce  $\pi^0$  decay  $\gamma$ -rays from proton-proton interactions. Emission involving inverse Compton scattering of the red giant radiation is also considered and is not ruled out.

V407 Cygni (V407 Cyg) is a binary system consisting of a Mira-type pulsating red giant (RG) with a white dwarf (WD) companion; these properties place it among the class of symbiotic binaries (1). Though one of the more active symbiotic systems, V407 Cyg has historically shown an optical spectrum in quiescence dominated by the Mira-like RG (M6 III) and only

weak emission lines [see, for example, (2)]. Its infrared continuum (consistent with a dusty wind) and maser emission (3) are detected at levels similar to other symbiotic Miras [for instance, R Aqr (4)]. One outstanding anomaly of V407 Cyg is a strong Li I  $\lambda$ 6707 line indicative of an overabundance of Li relative to normal Mira RGs (5, 6). Based on the 745-day pulsation period of the RG (7) and the Mira period-luminosity relation (8), we adopt the distance  $D = 2.7$  kpc, estimated as the mean derived from photometry in three near-infrared bands, assuming an extinction  $E_{B-V} = 0.57$  (2).

A nova outburst from V407 Cyg was detected on 10 March 2010 (9); it had a magnitude of  $\sim 6.9$

in an unfiltered charge-coupled device image obtained at 19:08 UT. Subsequent densely sampled observations show that the outburst was followed by a smooth decay, though the precise epoch of the nova is formally uncertain by up to 3 days, due to the time gap from the pre-outburst image (Fig. 1). Monitoring of the source over the past 2 years indicates pre-outburst magnitude values in the range of 9 to 12 [see the supporting online material (SOM)]. V407 Cyg has been monitored optically for decades and has shown earlier signs of optical brightening on month-long time scales by one to two magnitudes in the B and V bands (around 1936 and 1998) from typical V-band magnitudes of 13 to 16 (2, 10, 11), but the magnitude of the recent nova was unprecedented.

Here, we report on a high-energy  $\gamma$ -ray source (Fig. 2) positionally coincident with V407 Cyg detected after the nova (12) during routine automated processing of all-sky monitoring data from the Fermi Large Area Telescope (LAT) (13). A  $\gamma$ -ray light curve (1-day time bins) of this source generated from an analysis of all LAT data reveals that the first significant detection ( $4.3\sigma$ ) was, in fact, on 10 March, indicating that the  $\gamma$ -ray activity began on the same day as the reported optical maximum of V407 Cyg (Fig. 1; see also SOM). The observed 10 March flux is up to a factor of 3 larger than the 1-day upper limits (unless otherwise noted, 95% confidence limits are reported throughout) on the pre-outburst days. To further isolate the onset of detectable  $\gamma$ -ray emission, we divided the 10 March data into 6-hour intervals,

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and the first indication of a signal was a marginal detection in the 12- to 18-hour bin ( $2.8\sigma$ ). This was followed by a highly significant detection (at  $5.7\sigma$ ) in the last 6-hours bin (18 to 24 hours), which had a peak flux that was a factor of  $\geq 3$  larger than that of the marginal detection and the limits from earlier in the day (see SOM). The initial detection of the  $\gamma$ -ray source by the Fermi-LAT in the latter 12 hours of 10 March is consistent with the time of the optical nova discovery.

The peak flux in  $\gamma$ -rays (defined in 1-day segments) was observed between 13 to 14 March, 3 to 4 days after the initial  $\gamma$ -ray detection, and with a flux ( $9 \times 10^{-7}$  photons  $\text{cm}^{-2} \text{s}^{-1}$ ) that was greater than that observed on 10 March by a factor of 2. The data was analyzed up to mid-April, and the last day with a significant detection ( $>3\sigma$ ) of the variable  $\gamma$ -ray source was 25 March, amounting to a total lifetime of activity of 2 weeks. Defining an “active” period (14) from 10 March 18:00 to 29 March 00:00, we obtained a  $\gamma$ -ray position (Fig. 2) from the cumulative exposure that is  $0.040^\circ$  offset from the optical position of V407 Cyg, which is within the LAT 95% confidence circle (radius =  $0.062^\circ$ ). The average spectral energy distribution (SED) of the Fermi-LAT  $\gamma$ -ray source during the defined active period can be described with an exponentially cut-off power-law model (see SOM) with a flux ( $>100$  MeV) of  $(4.4 \pm 0.4) \times 10^{-7}$  photons  $\text{cm}^{-2} \text{s}^{-1}$  (and an overall source significance of  $18.1\sigma$ ). A likelihood-ratio test indicates that the addition of the exponential cut-off improves the fit at the  $4.9\sigma$  level compared with a simpler single-power-law model. We find no evidence for spectral variability over the duration of the active  $\gamma$ -ray period (see SOM). Our analysis of the data from the 2 weeks after the active period (29 March to 12 April) collectively gives a significance of only  $1.6\sigma$  (and a flux upper limit of  $0.8 \times 10^{-7}$  photons  $\text{cm}^{-2} \text{s}^{-1}$ ), indicating that the flux has declined below the level of detectability. Overall, the  $\gamma$ -ray source is brightest at earlier times, consistent with the optical behavior of V407 Cyg. The coincident localization and the observed correlated variability imply that the optical nova is the source of the variable  $\gamma$ -ray flux.

Nuclear  $\gamma$ -ray lines and continuum emission from novae at  $\lesssim 1$ -MeV energies have been considered (15), but the Fermi-LAT detection of V407 Cyg shows unequivocally that novae can generate high-energy ( $>100$  MeV)  $\gamma$ -rays. The  $>100$ -MeV  $\gamma$ -ray luminosity, its spectrum, and its light curve can be understood broadly as consequences of shock acceleration taking place in a nova shell. Such a nova shell is produced by thermonuclear energy release on the WD and initially expands freely into a very dense medium consisting of the RG wind and atmosphere present in the binary system prior to the nova. The radio source detected from V407 Cyg over 22 to 28 March (16, 17) and subsequent imaging, which revealed the emission to be predominantly extended at a few milli-arc seconds resolution (18), is consistent with a picture of an extended shell,

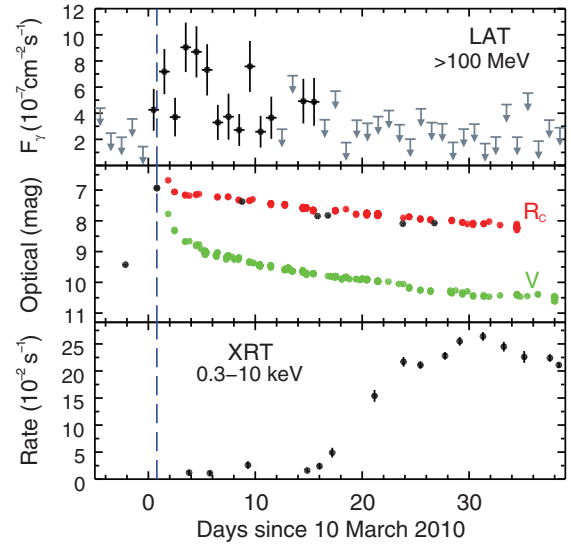
as was found in the 2006 RS Ophiuchi nova outburst (19, 20).

An initially spherical shell can sweep up mass from the companion RG wind asymmetrically and will reach a deceleration phase during which it expands adiabatically (21) with different temporal behavior in different directions from the WD center. Fermi acceleration of protons and electrons takes place in the outgoing nova shock during both the free-expansion and deceleration phases, and we show that the measured  $\gamma$ -ray spectrum can be explained by  $\pi^0$  decay  $\gamma$ -rays from proton-proton (pp) collisions or inverse Compton (IC) scattering of infrared photons from the RG by electrons. In both of these scenarios, the  $\gamma$ -ray light curve, in conjunction with the delayed rise of the x-ray flux, can be explained qualitatively as a geometrical effect of the nova-shell evolution.

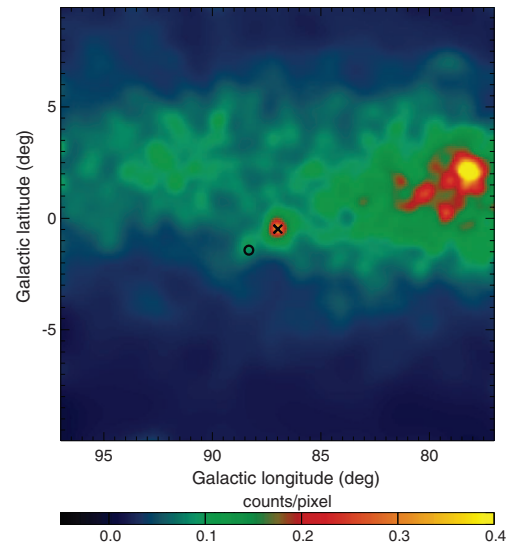
The measured optical peak magnitude of 7 of V407 Cyg (9) over 1 day implies an energy release of  $\geq 3 \times 10^{42}$  ergs at visible frequencies

(after extinction correction). The kinetic energy of the ejecta in the nova shell,  $\epsilon_k \sim 10^{44}$  ergs, can be estimated assuming a nova-shell mass  $M_{ej} \sim 10^{-6} M_\odot$  [which is a plausible value for a massive WD  $> 1.25 M_\odot$  (22, 23), where  $M_\odot$  is the mass of the Sun] and the velocity  $v_{ej} = 3200 \pm 345 \text{ km s}^{-1}$ , inferred from an  $H\alpha$  atomic emission line-width measurement on 14 March (see SOM). The velocity of the outgoing shock wave is initially  $v_{sh} \sim v_{ej}$ . The onset of the deceleration phase and subsequent evolution of the shock wave are determined by the density of the RG stellar wind and atmosphere surrounding the nova shell, which depend on two poorly constrained parameters: (i) the WD-RG separation ( $a$ ) and (ii) the RG mass-loss rate in the wind ( $\dot{M}_W$ ). As we will discuss shortly, the detection of the  $\gamma$ -ray flux within 1 day of the optical detection of the nova, a peak duration of 3 to 4 days, and subsequent decay within 15 days after the optical nova can be modeled as emission from the nova shell in a dense environment and

**Fig. 1.** Light curves of V407 Cyg in  $\gamma$ -rays from the Fermi-LAT (top), optical (middle), and x-rays from Swift (bottom). Vertical bars indicate  $1\sigma$  statistics errors for all data (the errors are smaller than the points in the optical section). For the  $\gamma$ -ray data, gray arrows indicate  $2\sigma$  upper limits, and horizontal bars indicate the 1-day binning. In the optical section, unfiltered (black),  $R_c$  (red), and V (green) band magnitudes are shown (see SOM). The vertical dashed blue line indicates the epoch of the optical nova detection; the  $\gamma$ -ray peak occurred 3 to 4 days later.  $F_\gamma$ ,  $\gamma$ -ray flux; XRT, Swift X-ray Telescope.



**Fig. 2.** Fermi-LAT  $\gamma$ -ray counts (200 MeV to 100 GeV) map from 10 March 18:00 to 29 March 00:00 2010 in the region around the position of the  $\gamma$ -ray nova source V407 Cyg (marked by the black cross) at Galactic longitude  $l = 86.958^\circ$ , Galactic latitude  $b = -0.513^\circ$  (right ascension =  $315.551^\circ$ , declination =  $+45.737^\circ$ , J2000.0). The map was adaptively smoothed by imposing a minimum signal-to-noise ratio of 7. The closest known  $\gamma$ -ray source is contained in the first-year LAT catalog (1FGL J2111.3+4607; marked by the black circle) (29),  $\sim 1.5^\circ$  away from the star’s optical position. The bright source at  $l = 78.2^\circ$ ,  $b = +2.1^\circ$  is LAT PSR J2021+4026. deg, degrees.



mostly from along the WD-RG axis, assuming an inverse-square law wind-density profile from the RG center, with  $a \sim 10^{14}$  cm and  $\dot{M}_W \sim 3 \times 10^{-7} M_\odot \text{ year}^{-1}$ . Both of these values are a factor  $\approx 2$  smaller than those suggested previously for the V407 Cyg system (2).

The density of particles in the RG wind is  $n(R) = \dot{M}_W [4\pi(R^2 + a^2 - 2aR\cos\theta)v_w \bar{m}]^{-1}$ . Here, the RG wind velocity,  $v_w \approx 10$  km  $\text{s}^{-1}$ , is based on optical spectra (see SOM), the mean particle mass is  $\bar{m} \approx 10^{-24}$  g,  $R$  is the distance from the WD center, and  $\theta$  is the polar angle relative to the WD center. The energy density in the RG radiation field is, similarly,  $u_{\text{IR}}(R) = L_{\text{IR}} [4\pi(R^2 + a^2 - 2aR\cos\theta)c]^{-1}$ , where  $c$  is the speed of light. The RG luminosity,  $L_{\text{IR}} \approx 10^4 L_\odot$  (where  $L_\odot$  is the luminosity of the Sun) (2, 11), is consistent with a spectral fit to post-nova infrared measurements with a temperature of  $\approx 2500$  K (see SOM). Near the WD surface ( $R \approx 0.01 R_\odot$ , where  $R_\odot$  is the radius of the Sun), these densities are  $n \sim 10^8 \text{ cm}^{-3}$  and  $u_{\text{IR}} \sim 0.01 \text{ erg cm}^{-3}$ , and they increase by up to one order of magnitude when the nova shell approaches the RG surface (that is, along  $\theta \approx 0^\circ$ ) at a radius  $r_{\text{RG}} \approx 500 R_\odot$ . An equipartition of the energy density in the magnetic field expected to arise from turbulent motions in the wind to the thermal energy density in the RG wind with temperature  $T_w \approx 700$  K (2) gives a mean magnetic field  $B_{\text{sh}}(R) = [32\pi n(R)kT_w]^{1/2} \sim 0.03$  G (here,  $k$  is the Boltzmann constant) in the shock wave when it is near the WD. Electrons and protons can be accelerated efficiently in this magnetic field (24) and interact with the surrounding RG wind particles and radiation.

The time scale for pp interactions for a  $\pi^0$  model to produce  $\gamma$ -rays in the shock wave is  $t_{\text{pp}} \approx 1/[4n(R)c\sigma_{\text{pp}}] \sim 2.8 \times 10^6$  s when the nova shell is near the WD. Here,  $\sigma_{\text{pp}} \approx 3 \times 10^{-26} \text{ cm}^2$  is the pp cross section. Thus,  $t/t_{\text{pp}} \sim 3\%$  of the protons can interact to produce  $\pi^0$  emission on a time scale  $t = 1$  day. In an IC scenario, the cooling time scale for electrons with energy  $E_e \approx 5$  GeV that up-scatter 2500-K photons to  $\approx 100$  MeV is  $t_{\text{IC}} = (3/4)m_e^2 c^3 [\sigma_T E_e u_{\text{IR}}(R)]^{-1} \sim 3.1 \times 10^5$  s (here,  $m_e$

is the mass of the electron, and  $\sigma_T$  is the Thomson electron scattering cross section). Thus,  $t/t_{\text{IC}} \sim 28\%$  of the electrons produce  $\gamma$ -rays efficiently in a time scale  $t = 1$  day. The efficiency for  $\gamma$ -ray production in both the  $\pi^0$  and IC models increases substantially in the part of the nova shell that expands toward the RG ( $\theta \sim 0^\circ$ ) and reaches the deceleration phase, by accumulating RG wind and atmospheric material of mass equal to  $M_{\text{ej}}$ , at a distance  $\sim 7 \times 10^{13}$  cm in  $\sim 2.5$  days. The efficiency decreases rapidly in the part of the shell that expands away from the RG ( $\theta \geq 90^\circ$ ) because of a decreasing density in both the RG wind and radiation.

In our scenario, most of the  $\gamma$ -rays come from the part of the nova shell approaching the RG. This can qualitatively explain the basic features of the  $\gamma$ -ray light curve (Fig. 1): (i) its onset within days of the optical nova and the peak flux reached in 3 days due to an increasing efficiency for pp interactions and an increasing volume of the shock-accelerated particles and (ii) the decline in the flux after  $\sim 5$  days due to weakening of the shock wave after reaching the deceleration phase. A highly significant 1-day detection ( $6.5\sigma$ ) of an increase in  $\gamma$ -ray flux 9 days after the nova discovery (Fig. 1) could be due to the nova shell hitting a part of the RG surface or a nearby remnant of high density with a size scale of  $\sim 10^{13} (v_{\text{sh}}/1500 \text{ km s}^{-1})(t/1 \text{ day})$  cm.

A representative  $\pi^0$  decay model fitting the LAT data with a cosmic-ray proton spectrum in the form of an exponentially cut-off power-law  $N_p = N_{p,0}(W_p + m_p c^2)^{-3} e^{-W_p/E_{\text{cp}}}$  (where  $E_{\text{cp}}$ ,  $W_p$ , and  $m_p$  are the cut-off energy, kinetic energy, and mass of the proton, respectively) is shown in Fig. 3. The  $\gamma$ -ray spectrum is well reproduced with a spectral index  $s_p = 2.15^{+0.45}_{-0.28}$ , similar to the expected spectrum from the Fermi acceleration mechanism, with  $E_{\text{cp}} = 32^{+85}_{-8}$  GeV ( $1\sigma$  uncertainties) (see SOM). The total energy in  $\gamma$ -rays above 100 MeV integrated over the active period is  $\epsilon_\gamma \approx 3.6 \times 10^{41}$  erg. The total energy in protons is  $\epsilon_p \approx \epsilon_p^{-1} \langle E_p/E_\gamma \rangle \epsilon_\gamma$ , where  $\epsilon_p$  is the mean efficiency for pp interactions and  $1/\langle E_p/E_\gamma \rangle \approx 0.2$

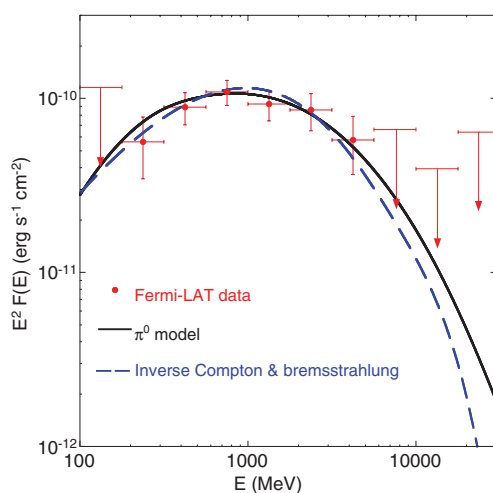
is the mean fraction of proton energy transfer to  $\gamma$ -rays per interaction in the  $E_\gamma \geq 100$  MeV range. The ratio of the total energy in protons that produce  $\gamma$ -rays to the kinetic energy ( $\epsilon_p/\epsilon_k \sim 9\%$ ), with  $\epsilon_p \sim 0.2$  averaged over the  $\gamma$ -ray source lifetime of 15 days and the whole nova shell, is similar to 1 to 10% estimated in supernova remnants (25). The ratio, however, is larger when considering dominant  $\gamma$ -ray emission coming mostly from the part of the shell that expands toward the RG.

The leptonic model is represented in Fig. 3 as the total of the IC spectrum plus a small contribution from bremsstrahlung emission that arises from the scattering of electrons with protons of density  $n(R)$  in the shock wave (see SOM). The exponentially cut-off power-law electron spectrum,  $N_e = N_{e,0} W_e^{-s_e} e^{-W_e/E_{\text{ce}}}$ , where  $E_{\text{ce}}$  and  $W_e$  are the cut-off energy and kinetic energy of electrons (in billion electron volts), respectively, reproduces the  $\gamma$ -ray spectrum with a spectral index  $s_e = -1.75^{+2.40}_{-0.59}$  and  $E_{\text{ce}} = 3.2^{+2.6}_{-0.1}$  GeV ( $1\sigma$  uncertainties) (see SOM). The total number of electrons emitting in the steady state is  $N_{e,0} \approx 4 \times 10^{42}$  electrons, with a mean energy of 8.7 GeV, which is larger than  $E_{\text{ce}}$  because of the steep spectrum. The total energy in electrons over 15 days of  $\gamma$ -ray emission is  $\epsilon_e \approx 4 \times 10^{41}$  ergs, averaged over the nova shell. Thus, the total energy in electrons that produce  $\gamma$ -rays in the IC model is a small fraction of the kinetic energy in the shell ( $\epsilon_e/\epsilon_k \sim 0.4\%$ ).

X-ray emission detected from V407 Cyg with the Swift X-ray Telescope as early as 3 days after the onset of the optical nova (Fig. 1) is probably due to shock-heating of ambient gas (26, 27). The x-ray flux starts rising substantially about 2 weeks after the nova, coinciding with when the  $\gamma$ -ray flux declines below the level of detectability. In our geometric scenario, the sharply rising x-ray flux is due to the increasing volume of shocked gas in the nova shell expanding in the direction away from the RG. The x-ray flux peaks about 30 days after the explosion, and its subsequent slow decline is consistent with the longer time scale of the deceleration phase.

The Fermi-LAT detection of V407 Cyg was a surprise and adds novae as a source class to the high-energy  $\gamma$ -ray sky. The particle acceleration mechanism and the  $\gamma$ -ray emission scenarios outlined here require the mass donor to be a RG; that is, a nova in a symbiotic system. Interestingly, several symbiotic stars are known to be recurrent novae (i.e., systems observed to have undergone multiple thermonuclear runaways within the last century), and recurrent novae are often considered candidate progenitors of type Ia supernovae (28). V407 Cyg may also belong to this class of binaries, and we have adopted parameters that are consistent with such a classification in modeling the  $\gamma$ -ray emission. In general, these sources can have dramatic influence on the local interstellar medium and Galactic cosmic rays, but few binary systems with a WD are known to have a similar environment; hence, we expect  $\gamma$ -ray novae to be rare.

**Fig. 3.** SED of V407 Cyg in million electron volt/billion electron volt  $\gamma$ -rays measured by the Fermi-LAT instrument over the period from 10 March 18:00 to 29 March 00:00 2010. Vertical bars indicate  $1\sigma$  statistical errors, red arrows indicate  $2\sigma$  upper limits, and horizontal bars indicate energy ranges. The best-fit  $\pi^0$  (black solid line) and leptonic (blue dashed line) models are indicated.



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# Quantum Oscillations and Hall Anomaly of Surface States in the Topological Insulator $\text{Bi}_2\text{Te}_3$

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Topological insulators are insulating materials that display massless, Dirac-like surface states in which the electrons have only one spin degree of freedom on each surface. These states have been imaged by photoemission, but little information on their transport parameters, for example, mobility, is available. We report the observation of Shubnikov–de Haas oscillations arising from the surface states in nonmetallic crystals of  $\text{Bi}_2\text{Te}_3$ . In addition, we uncovered a Hall anomaly in weak fields, which enables the surface current to be seen directly. Both experiments yield a surface mobility (9000 to 10,000 centimeter<sup>2</sup> per volt-second) that is substantially higher than in the bulk. The Fermi velocity of  $4 \times 10^5$  meters per second obtained from these transport experiments agrees with angle-resolved photoemission experiments.

Recently, the existence of a new class of bulk insulators called topological insulators has been predicted (1–6). In a topological insulator, the bulk energy gap is traversed by surface states in which the spin of the electron is locked perpendicular to its momentum by strong spin-orbit interaction. On each surface, the electrons have only one spin degree of freedom (fixed helicity). The spin-resolved nature of the surface states has been confirmed in angle-resolved photoemission spectroscopy (ARPES) experiments on BiSb (7), Sb (8),  $\text{Bi}_2\text{Se}_3$  (9), and  $\text{Bi}_2\text{Te}_3$  (10). The spin locking in BiSb has also been studied by scanning tunneling microscopy (11). However, it has been a challenge to resolve the conductance of the surface states from the dominant bulk contribution (12, 13). The lack of transport information, especially the mobility, is a serious hindrance. Moreover, detection of the surface currents is a crucial first step in the investigation of phenomena, such as the Majorana fermion (5) and unconventional electrodynamics (6), in topological insulators.

We report dual evidence for surface state conduction in  $\text{Bi}_2\text{Te}_3$  from Shubnikov–de Haas (SdH) oscillations and from the weak-field Hall effect. As in the case of the selenide  $\text{Bi}_2\text{Se}_3$  (12), as-grown crystals of  $\text{Bi}_2\text{Te}_3$  usually display a metallic resistivity  $\rho$  versus temperature  $T$  (in  $\text{Bi}_2\text{Te}_3$ , the Fermi energy  $E_F$  lies in the valence band VB). By selective cleaving of many crystals from

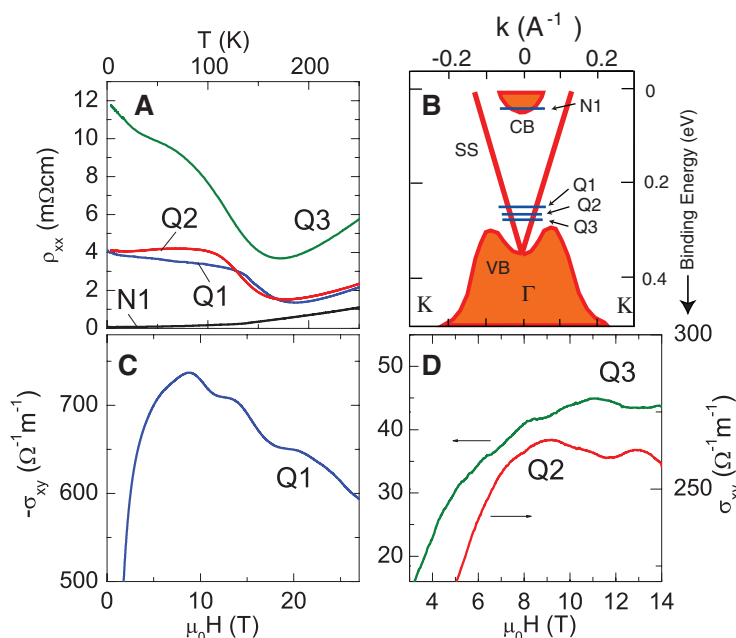
a boule of  $\text{Bi}_2\text{Te}_3$  grown with a weak compositional gradient (14), we have obtained nonmetallic crystals (Fig. 1A). In the nonmetallic samples Q1, Q2, and Q3,  $\rho$  rises when  $T$  is lowered below 150 K and saturates to values 4 to 5 mohm cm at 4 K or  $\sim 50$  times larger than the value in

the metallic sample N1. The surface state dispersion obtained by ARPES (10) is sketched in Fig. 1B, with  $E_F$  in our samples indicated. Even in the most resistive sample Q3, the bulk conductance is  $\sim 300$  times larger than the surface term (see below). Nonetheless, the latter may be resolved by detecting the SdH oscillations in the resistivity and the Hall resistivity  $\rho_{yx}$  at low  $T$ . Quantum oscillations are well resolved in the raw trace of the Hall conductivity  $\sigma_{xy} = \rho_{yx}/(\rho_{xx}^2 + \rho_{yx}^2)$  measured at  $T = 0.3$  K (Fig. 1, C and D). In metals, SdH oscillations correspond to successive emptying of Landau levels (LL) as the magnetic field  $H$  is increased. The LL index  $n$  is related to the extremal cross section  $S_F$  of the Fermi surface (FS) by

$$2\pi(n + \gamma) = S_F \frac{\hbar}{eB} \quad (1)$$

where  $\gamma = 0$  or  $1/2$ ,  $e$  is the electron charge,  $\hbar$  is Planck's constant ( $\hbar = h/2\pi$ ), and  $B$  is the magnetic flux density.

For a two-dimensional (2D) FS, the peak positions depend only on the field component  $H_1 = H\cos\theta$  along the axis  $\mathbf{c}$  normal to the cleavage plane ( $\theta$  is the tilt angle between  $\mathbf{H}$  and  $\mathbf{c}$ ). To



**Fig. 1.** (A) The resistivity profiles  $\rho$  versus temperature  $T$  of samples Q1, Q2, Q3, and N1. (B) Sketch of the surface state dispersion near the  $\Gamma$  point [traced from (10)]. The Fermi energies of samples Q1, Q2, Q3, and N1 are indicated by short lines (CB, conduction band). Curves of the Hall conductivity  $\sigma_{xy}$  versus the magnetic field  $H$  in sample Q1 (C) and in samples Q2 and Q3 (D) showing well-resolved SdH oscillations.  $\mu_0$  is the permeability.

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